



■ SPINE

Transcriptome-wide association study reveals candidate causal genes for lumbar spinal stenosis

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Aims

Lumbar spinal stenosis (LSS) is a common skeletal system disease that has been partly attributed to genetic variation. However, the correlation between genetic variation and pathological changes in LSS is insufficient, and it is difficult to provide a reference for the early diagnosis and treatment of the disease.

Methods

We conducted a transcriptome-wide association study (TWAS) of spinal canal stenosis by integrating genome-wide association study summary statistics (including 661 cases and 178,065 controls) derived from Biobank Japan, and pre-computed gene expression weights of skeletal muscle and whole blood implemented in FUSION software. To verify the TWAS results, the candidate genes were further compared with messenger RNA (mRNA) expression profiles of LSS to screen for common genes. Finally, Metascape software was used to perform enrichment analysis of the candidate genes and common genes.

Results

TWAS identified 295 genes with permutation p-values < 0.05 for skeletal muscle and 79 genes associated for the whole blood, such as *RCHY1* ($P_{TWAS} = 0.001$). Those genes were enriched in 112 gene ontology (GO) terms and five Kyoto Encyclopedia of Genes and Genomes pathways, such as 'chemical carcinogenesis - reactive oxygen species' (LogP value = -2.139). Further comparing the TWAS significant genes with the differentially expressed genes identified by mRNA expression profiles of LSS found 18 overlapped genes, such as interleukin 15 receptor subunit alpha (*IL15RA*) ($P_{TWAS} = 0.040$, $P_{mRNA} = 0.010$). Moreover, 71 common GO terms were detected for the enrichment results of TWAS and mRNA expression profiles, such as negative regulation of cell differentiation (LogP value = -2.811).

Conclusion

This study revealed the genetic mechanism behind the pathological changes in LSS, and may provide novel insights for the early diagnosis and intervention of LSS.

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Keywords: Transcriptome-wide association study, Lumbar spinal stenosis, mRNA expression profiles, Gene ontology, Pathway enrichment analyses

Article focus

■ To reveal candidate genes for lumbar spinal stenosis (LSS), and confirm the correlation between genetic variation and pathological changes in LSS.

Key messages

- Using transcriptome-wide association study (TWAS) analysis, this study identified 374 novel susceptibility genes associated with LSS.
- To verify the results of TWAS, the candidate genes were further compared with

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messenger RNA (mRNA) expression profiles of LSS and performed enrichment analysis.

- This study found the correlation between genetic variation and the three major pathological changes of LSS.

Strengths and limitations

- This is the first time the correlation between genetic variation and pathological changes of LSS has been confirmed via TWAS analysis.
- TWAS analysis is a creative method that can predict gene expression in LSS, and avoid confounding from environmental differences caused by the trait that may influence expression.
- The GWAS summary dataset and mRNA expression profiles are derived from subjects of Asian ancestry; the results of this study should be applied to other populations with caution.

Introduction

Lumbar spinal stenosis (LSS) is characterized by spinal nerve entrapment caused by bony spinal canal stenosis, and can be divided into developmental LSS and degenerative LSS according to the aetiology. The former is associated with pre-existing or congenital narrowing of the spinal canal and accounts for approximately 5% of LSS cases.¹ However, most cases of LSS are attributed to the degenerative changes in the spine with ageing. The pathoanatomical phenotype of degenerative LSS is related to the spinal canal size or neural compressive element.¹ The main symptoms of LSS are sciatica, numbness or weakness in buttocks or legs, and intermittent claudication or sphincter dysfunction in severe cases.² The substantial pain and disability of LSS, which impairs ambulation, affects more than 103 million people worldwide and approximately 600,000 surgical procedures are performed in the USA each year for LSS.³

Due to the human need for upright walking, the intervertebral disc becomes the initiating factor of spinal degeneration, which further leads to the pathological changes in LSS that develop around the level of the intervertebral disc, such as buckling of the ligamentum flavum, osteophyte hypertrophy of the facet joint, and even lumbar spondylolisthesis. The severity of LSS is highly heritable, with an estimated heritability of 67% based on qualitative MRI assessment.⁴ A recent meta-analysis also found that genetic variation is associated with susceptibility to disc degeneration.⁵ The genetic heterogeneity of LSS is established at the time of parental gamete formation, independent of environmental interference in later life, and predates the appearance of LSS. It also explains the clinical phenomenon of why the degree of LSS in some patients is not always consistent with the severity of symptoms at the genetic level. Thus, the study of genetics is an ideal epidemiological tool for screening high-risk populations. It also provides a new approach for the development of biological therapies to reduce the incidence of LSS and delay the degree of spinal

degeneration. Since the pathogenesis of LSS is unclear, the study of genetics may be a good tool to explore the mechanisms underlying the pathogenesis of LSS.

Previous genome-wide association studies (GWASs) have scanned a large number of genetic markers across the genome to locate the genetic variation associated with LSS.^{6,7} The gene *AAK1* and the variants in *SOX5* and *CCDC26/GSDMC* were correlated with the pain severity of LSS, revealing the specific genetic mechanism of individual patients' responses to LSS.⁶ However, most of the genetic variants reported by GWASs are located in non-coding regions and have limited capacity for explanation at the level of gene expression.⁸ Compared with single nucleotide polymorphism (SNP)-based GWASs studies, transcriptome-wide association studies (TWASs) can take into account regulatory genes in non-coding regions, and better determine gene-trait associations by integrating GWASs and gene expression datasets.⁸ In addition, TWAS can drastically reduce the comparisons in statistical analysis and enhance the ability to detect the candidate genes of diseases with complex traits.⁹ In recent years, TWAS has been widely used to identify risk genes in a variety of orthopaedic diseases. For example, Qi et al¹⁰ identified 33 genes for hip osteoarthritis (OA) and 24 genes for knee OA, which provides novel clues for understanding the genetic mechanism of OA.

In the current study, by integrating the GWAS summary dataset of spinal canal stenosis derived from BioBank Japan, and precomputed gene expression weights from skeletal muscle and whole blood, we conducted a TWAS analysis to identify candidate genes associated with LSS. The significant genes were further validated by the mRNA expression profiles of LSS patients. Gene ontology (GO) enrichment analysis was performed for gene functional annotation. The findings provide novel insights into the early diagnosis of and intervention for LSS by identifying genetic variations associated with pathological changes.

Methods

GWAS summary datasets of spinal canal stenosis. A large-scale GWAS summary dataset was obtained from BioBank Japan, containing 661 diagnosed spinal canal stenosis and 178,065 controls of Asian ethnicity.¹¹ BioBank Japan is a prospective biobank that collaboratively collected DNA and serum samples from 12 medical institutions in Japan and recruited approximately 200,000 participants, mainly of Japanese ancestry (not replicated in the UK BioBank and FinnGen). The mean age of participants at recruitment was 63 years, and 46.3% were female.¹¹ All cases were defined by code M48 in the International Classification of Diseases, Tenth Revision.¹² Genotyping was conducted using commercial platforms, such as Illumina HumanOmniExpressExome BeadChip, or a combination of the Illumina HumanOmniExpress and HumanExome BeadChip (Illumina, USA). After imputation, this study excluded variants with an imputation quality of $R_{sq} < 0.7$, resulting in 13,530,797 variants analyzed in total.¹¹ Significant associations were considered <

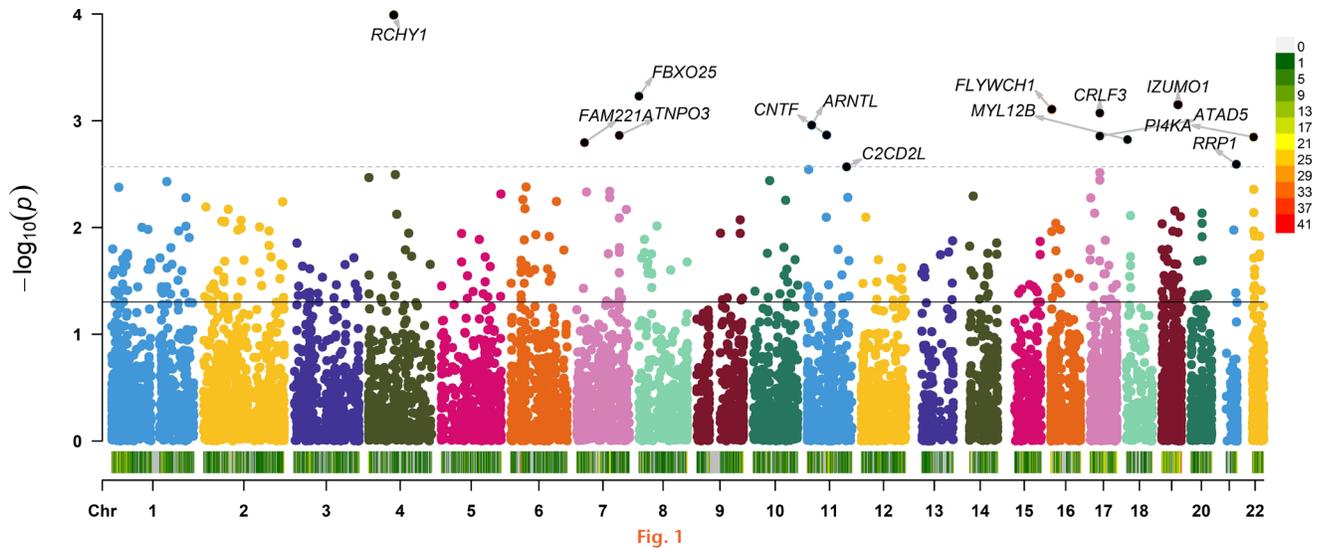


Fig. 1 Manhattan plot showing transcription-wide association study (TWAS)-identified genes and significantly expressed genes associated with lumbar spinal stenosis (LSS; annotated points). Each point represents a single gene, and the physical position (chromosome localization) is plotted on the x-axis, while the $-\log_{10}(p)$ of the association between gene and LSS is plotted on the y-axis.

5.0×10^{-8} ; a total of 75,230 associations across 181 traits were included in the study.¹¹ Detailed description of sample characteristics, experimental design, and statistical analysis can be found in the published study.¹¹

Statistical analysis. The tissue-specific TWAS analysis (including skeletal muscle and whole blood) was carried out by using Functional Summary-based Imputation software (FUSION; Gusev Lab, USA) by integrating the GWAS summary dataset and precomputed gene expression weights of different tissues. FUSION is the most commonly used TWAS method and has shown great promise in large-scale integrative omics data analysis.^{13,14} The imputed gene expression weights data can be viewed as a linear model based on the correlation for linkage disequilibrium (LD) among SNPs.¹⁵ Given that the skeletal muscle and whole blood were used in previous studies of LSS,^{15–17} the FUSION pre-computed functional weights of gene expression of the skeletal muscle and whole blood were used in our TWAS analysis. The expression weights were first calculated under different prediction models implemented in the FUSION, such as BLUP, BSLMM, LASSO, Elastic Net, and top SNPs, from the reference expression panel (GTExv8). The association testing statistics between predicted gene expression and each taxon was calculated as $TWAS\ Z = w'Z/(w'Lw)^{1/2}$. “Z” denotes the scores of LSS, and “w” denotes the weights. “L” denotes the SNP-correlation LD matrix.¹⁵ In this study, the permutation test was run 2,000 times for each gene by Z-test within skeletal muscle and whole blood. The significant genes were defined as permutation p-value < 0.05 .

The mRNA expression profiles of LSS were extracted from Gene Expression Omnibus (GEO) Datasets (GSE113212).¹⁹ Briefly, the dataset included five patients (one male, four females; age: 66 to 79 years), and the control specimen was the spine of a fresh cadaveric

30-year-old male.²⁰ RNA sequencing was conducted on the platform of Agilent-039494 SurePrint G3 Human GE v2 8×60 K Microarray (Agilent, USA). The differentially expressed genes (DEGs) were screened out by using the online GEO2R tool implemented in the GEO website. The GEO2R tool provides a simple interface that allows users to perform complex R-based GEO data analysis to help identify and visualize differential gene expression.²¹ A p-value < 0.05 and log-fold change ($|\log_{2}FC|$) > 1 were the criteria for differential expression. We compared the candidate genes identified by TWAS with the statistically significant DEGs detected by mRNA expression profiles of LSS to identify common candidate genes for LSS.

Metascape software (USA) was used for Gene Ontology (GO) and pathway enrichment analyses of the genes identified by TWAS, while DEGs were scanned by mRNA expression profiles.²² The enrichment results of TWAS and the mRNA expression profiles were further compared to determine the common GO terms and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways. The top GO terms in the result were selected and plotted using GO plots.

Results

TWAS analysis performed by FUSION. A total of 374 genes were identified by TWAS, including 295 for skeletal muscle, such as *RCHY1* (p = 0.001), *FBXO25* (p = 0.001), *IZUMO1* (p = 0.001), and *ARNTL* (p = 0.001), and 79 for whole blood, such as *CRLF3* (p = 0.001), *TNPO3* (p = 0.001), and *C2CD2L* (p = 0.003) (Figure 1). Table I shows the details of the top 20 significant genes identified by TWAS. By comparing with pre-computed gene expression weights, 15 genes were expressed in both skeletal muscle and whole blood, such as *FLYWCH1* (p = 0.001), *DUTP6* (p = 0.004), and *MBLAC1* (p = 0.005).

Table I. Top 20 genes identified by transcriptome-wide association study analysis.

Tissue	Gene	CHR	Best GWAS ID	NSNP	TWAS.Z	P _{TWAS}	
Skeletal muscle	RCHY1	4	rs6535523	427	3.8860	0.001	
	FBXO25	8	rs7822166	405	-3.4367	0.001	
	IZUMO1	19	rs838143	429	3.3869	0.001	
	ARNTL	11	rs10766064	550	3.2647	0.001	
	CNTF	11	rs3829218	412	3.2040	0.001	
	ATAD5	17	rs216462	279	-3.1973	0.001	
	FLYWCH1	16	rs9930148	340	3.1917	0.001	
	PI4KA	22	rs4820579	432	-3.1901	0.001	
	MYL12B	18	rs8086134	486	3.1740	0.002	
	FAM221A	7	rs1559012	439	3.1550	0.002	
	Whole blood	FLYWCH1	16	rs9930148	335	3.3599	0.001
		CRLF3	17	rs216462	294	3.3373	0.001
		TNPO3	7	rs17340646	359	3.2003	0.001
		C2CD2L	11	rs643788	367	-3.0000	0.003
MAEA		4	rs13117476	374	2.9289	0.003	
DUTP6		1	rs12568568	574	-2.9020	0.004	
SNAP29		22	rs4820579	416	2.8489	0.004	
MBLAC1		7	rs4729565	265	2.7910	0.005	
CNIH4		1	rs6675858	360	2.7908	0.005	
ATP6V0E2		7	rs7795944	256	-2.7070	0.007	

TWAS.P and TWAS.Z values were calculated by the FUSION approach.

CHR, chromosome; GWAS, genome-wide association study; NSNP, number of single-nucleotide polymorphisms in the locus; TWAS, transcriptome-wide association study.

Table II. The top common genes identified by both transcription-wide association study analysis and mRNA expression profiles of lumbar spinal stenosis.

Tissue	Gene	CHR	NSNP	P _{TWAS}	P _{DEG}	Regulation	
Skeletal muscle	ATAD5	17	279	0.001	0.048	Up	
	CRTAM	11	516	0.005	0.018	Down	
	KDEL3	22	377	0.012	0.016	Down	
	CIDEA	18	433	0.019	0.025	Up	
	TFCP2L1	2	384	0.020	0.005	Down	
	SLC16A10	6	322	0.024	0.004	Down	
	RIMS1	6	427	0.025	0.002	Down	
	NOXRED1	14	575	0.026	0.018	Up	
	AKAP6	14	567	0.028	0.028	UP	
	SLC25A10	17	328	0.034	0.046	Up	
	CRLF1	19	374	0.034	0.007	Down	
	FAM43B	1	509	0.043	0.014	Down	
	BCR	22	396	0.045	0.001	Up	
	ZNF331	19	482	0.048	0.043	Up	
	TTC23	15	545	0.050	0.001	Up	
	Whole blood	SPNS1	16	173	0.011	0.004	Down
		IL15RA	10	715	0.040	0.010	Down
CAT		11	605	0.045	0.003	Up	

P-values were tested using Bonferroni correction.

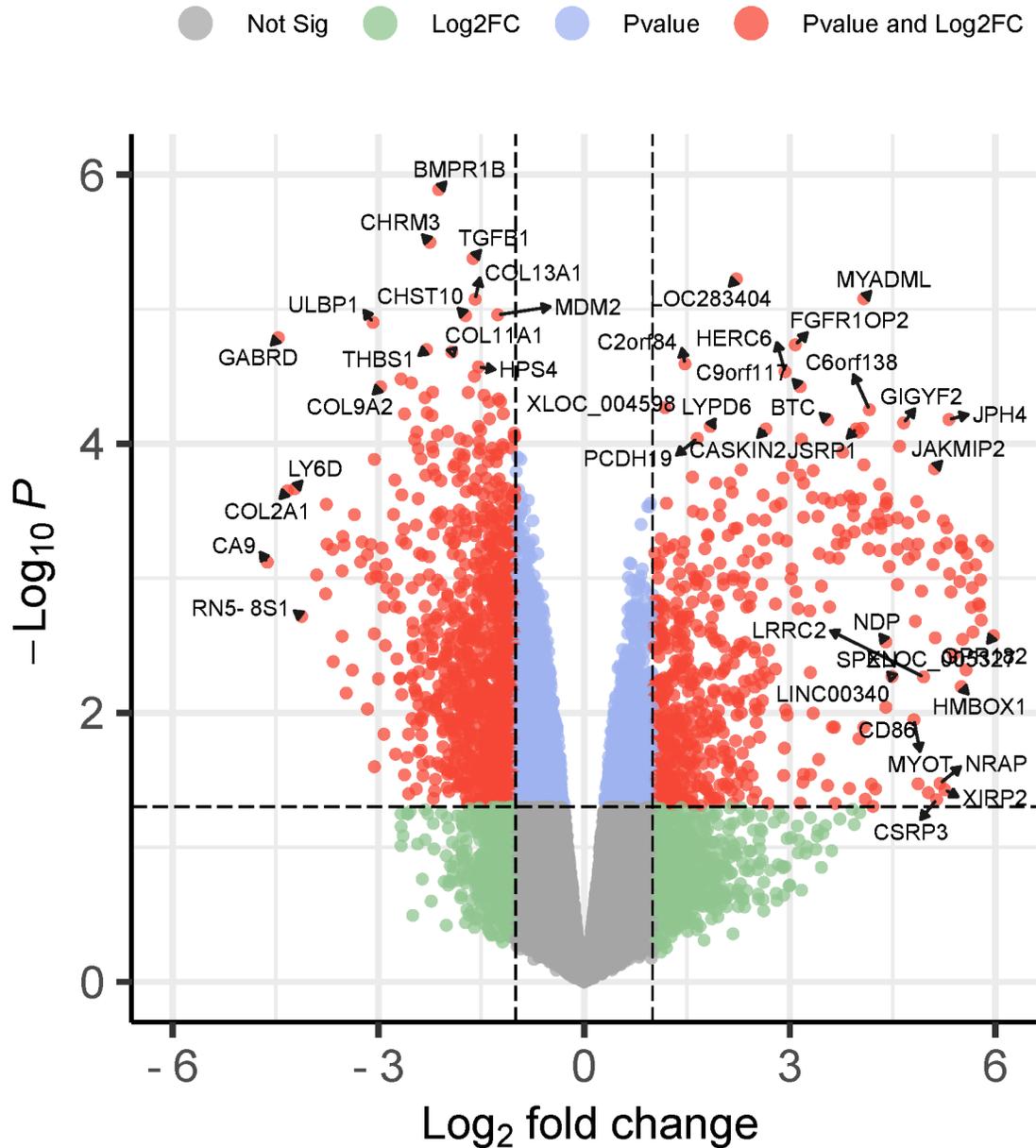
DEG, differentially expressed gene; LSS, lumbar spinal stenosis; TWAS, transcriptome-wide association study.

Validating the TWAS results by mRNA expression profiles of LSS. The mRNA expression profiles of LSS screened 1,702 DEGs, among which 599 were downregulated and 1,103 were upregulated. After comparing the results of the TWAS and mRNA expression profile analyses, 18 common genes were identified and are shown in Table II, such as *IL15RA* ($P_{TWAS} = 0.040$, $P_{DEG} = 0.010$; Bonferroni

correction). The distribution of DEGs identified from mRNA expression profiles was visualized in the corresponding volcano plot (Figure 2).

Gene set enrichment analysis of the TWAS results. The significant genes identified by TWAS and mRNA expression profiles of LSS were submitted to the Metascape online tool for GO and KEGG enrichment analysis, respectively.

Enhanced Volcano



total = 22218 variables

Fig. 2

The volcano plot of messenger RNA (mRNA) expression profiles for lumbar spinal stenosis (LSS). Genes were marked in red point as differentially expressed when the following two conditions were met: p-value of < 0.05 by the moderated t-statistic, and log-fold change (\log_2FC) > 1.

We identified 112 GO terms and five KEGG pathways enriched for TWAS results, such as negative regulation of cellular component organization (GO:0051129, LogP value = -3.249), ‘chemical carcinogenesis - reactive oxygen species’ (hsa05208, LogP value = -2.139). The significant terms were then hierarchically clustered, a subset of representative terms was selected, and these were converted into a network layout (Figure 3). The GO Chord

plot shows the top GO terms enriched by TWAS results (Figure 4), and the correlations between genetic variation and pathological changes in LSS are shown in Figure 5. By integrating the DEG enrichment analysis, 71 common GO terms were identified, such as negative regulation of cell differentiation (GO:0045596). The top overlapped GO terms are listed in Table III.

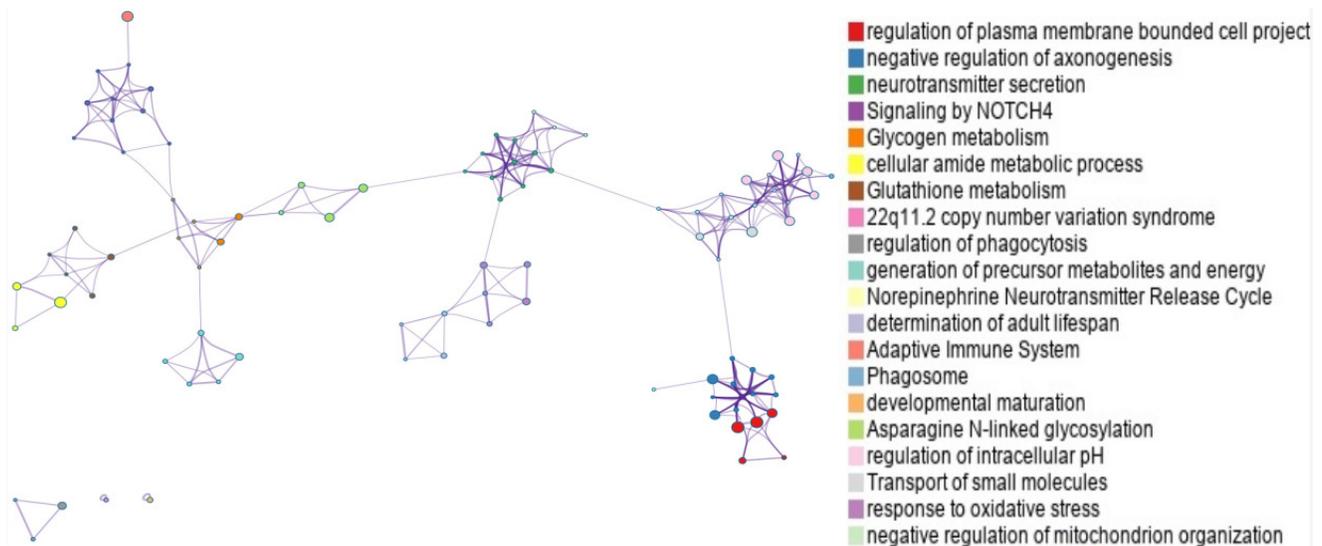


Fig. 3

The network layout of top Gene Ontology (GO) terms under hierarchical clustering. In the network, each circle node represents a term, where its size is proportional to the number of input genes that fall into that term, and its colour represents its cluster identity (i.e. nodes of the same colour belong to the same cluster). Terms with a similarity score >0.3 are linked by an edge (the thickness of the edge represents the similarity score). NOTCH4, neurogenic locus notch homolog 4.

Discussion

LSS is characterized by lumbar intervertebral disc degeneration, ligamentum flavum hypertrophy, and osteophyte formation. Previous studies have identified several genome-wide significant loci associated with LSS, but the ability to interpret the relationship between the significant genes and LSS is limited.^{6,7} In this study, we conducted a TWAS analysis and identified genes closely associated with LSS in order to explain the relationships between these genes and the disease. To validate the results of TWAS, these genes were further compared with RNA expression profiles of LSS to screen for common genes. Our study results provide novel clues for understanding the genetic mechanism of LSS, focusing on the roles of abnormal gene transcription in the development of LSS.

The pathological evolution of all diseases includes a certain genetic variation basis, but only conducting the analysis of genetics – ignoring its correlation with pathological changes – is not conducive to early diagnosis and treatment. Starting from the pathological changes, exploring the inherent genetic variation basis for diseases can provide new diagnostic and treatment ideas. Previous GWASs did not carry out correlation analysis between LSS genetic variation and pathological changes, resulting in poor clinical application.^{6,7}

By combining TWAS analysis and LSS mRNA expression profiling, interleukin-15 receptor α (*IL-15RA*) was identified on both gene and mRNA level. *IL-15RA* has a genetic correlation with osteophyte proliferation at the posterior margin of the vertebral body and facet joints, an important pathological change in LSS. *IL-15RA* is an important component of the *IL-15* proinflammatory signalling that occurs in muscles after exercise.^{23,24} In the skeletal system, *IL-15RA* plays a cell-autonomous role in

osteoblast function and bone mineralization.²⁵ *IL-15RA* SNPs are associated with susceptibility to ossification of the posterior longitudinal ligament of the spine.²⁶ Over time, pathological ectopic bone formation in the spinal canal, including osteophytes with hyperplasia of the posterior margin of the vertebral body or facet joints, and ectopic ossification of the posterior longitudinal ligament, results in spinal stenosis of the lumbar spinal canal and progression to compressive myelopathy or radiculopathy. The hypertrophy of the ligamentum flavum is considered to be another major contributor to the development of LSS. Fibrosis is considered to be a main aetiology of the hypertrophy of the ligamentum flavum, which shows loss of elastic fibres and synthesis of collagen fibres.^{27,28} The gene *RCHY1*, an E3 ligase screened out in this study, regulates ubiquitin-mediated protein degradation, and the candidate gene *FLYWCH1* can encode zinc finger protein. The aforementioned two genes are also associated with DNA damage repair, and jointly regulate the metabolism of related proteins.^{29,30} Yabe et al²⁷ showed that the synthesis of proteoglycans related to protein metabolism is a key factor in the pathogenesis of ligamentum flavum hypertrophy. Thus, the genes *RCHY1* and *FLYWCH1* may affect the synthesis and metabolic balance of collagen fibres and elastic fibres through the regulation of DNA levels.

Intervertebral disc degeneration leads to initial relative instability and hypermobility of the intervertebral joints, which then spread to the facet joints and adjacent ligaments, subsequently resulting in hyperplasia of bony structures and ligament hypertrophy. The gene screened in this study mainly codes for expressed Spinster Homolog 1 protein, which is a hypothetical lysosomal H⁺-carbohydrate transporter contributing to macroautophagy.³¹ He et al³² also found that hypoxia may play

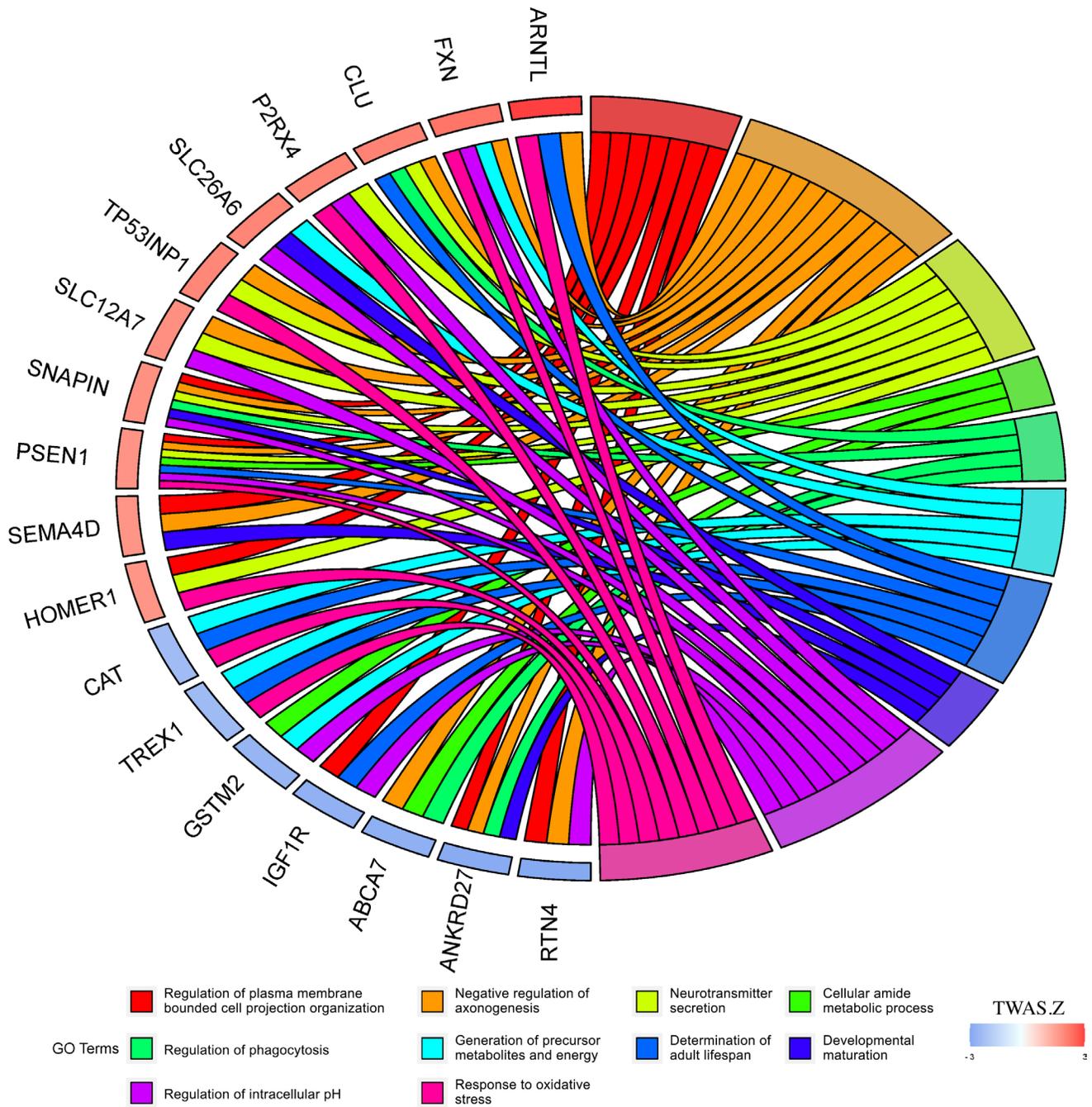


Fig. 4

Gene Ontology (GO) Chord plot of top GO terms belonging to the biological process subontology for lumbar spinal stenosis (LSS). The genes are linked to their assigned terms via coloured ribbons. Genes are ordered according to the observed log fold change (Log_2FC), which is displayed in descending and ascending intensity of red and blue squares displayed next to the selected genes. TWAS, transcription-wide association study.

a protective role by enhancing macroautophagy/autophagy and retarding intervertebral disc degeneration in nucleus pulposus-derived stem cells.

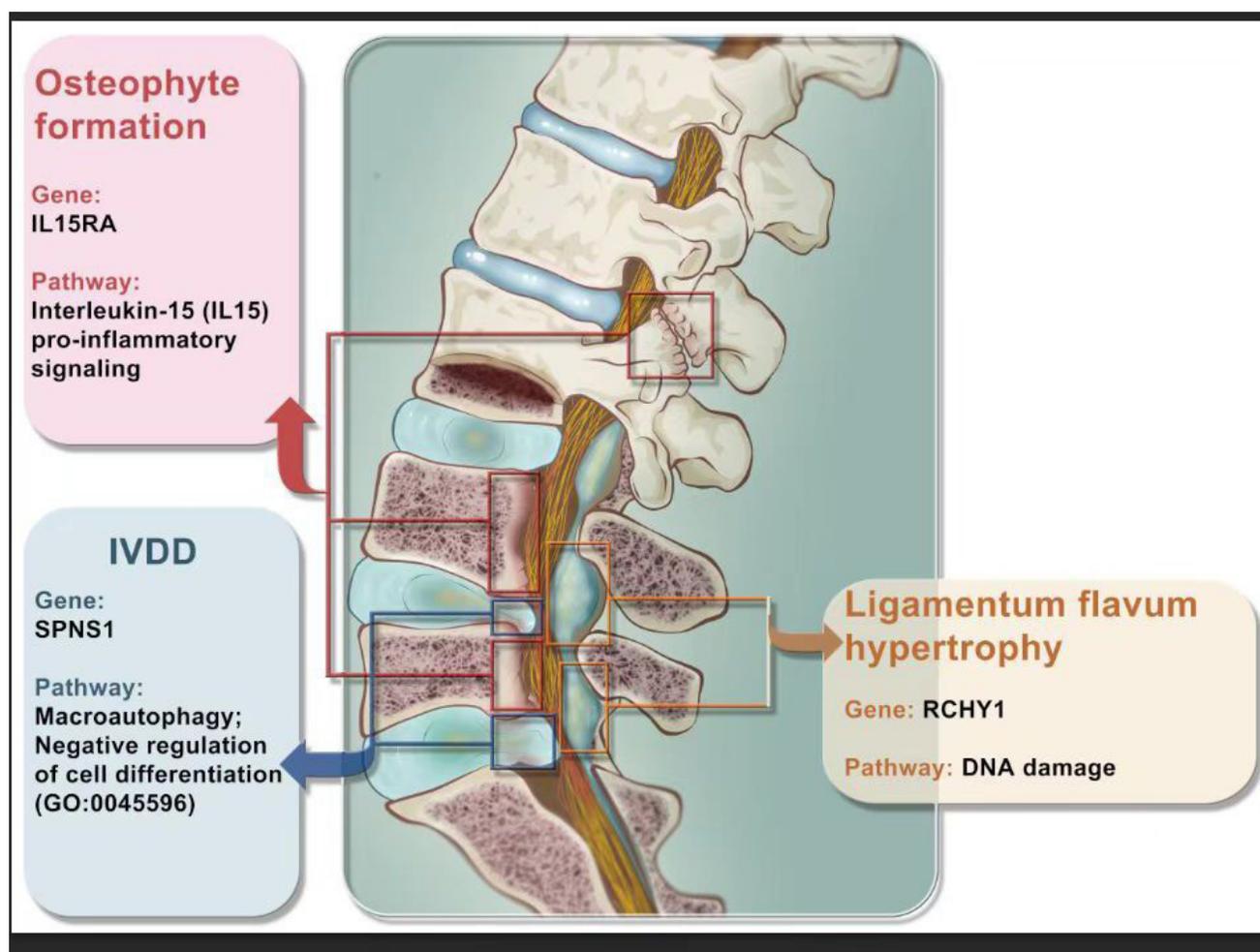
The Metascape tool was used for gene functional enrichment and annotation analysis, and the data were compared with the mRNA expression profiles to screen out candidate GO terms related to LSS. Intervertebral disc degeneration (IVDD) caused by nucleus pulposus (NP)

degeneration can be followed by intervertebral collapse, osteophyte hypertrophy, segmental instability, and other pathological changes, while bony spinal canal stenosis can lead to spinal cord and nerve root impingement and corresponding clinical symptoms.³² NP cells are similar to chondrocytes, which consist of collagen fibres embedded in gel-like aggrecan. The regulation of cell differentiation occurs in various pathophysiological pathways

Table III. Top overlapped Gene Ontology terms identified by both transcription-wide association study analysis and messenger RNA expression profiles.

GO ID	Description	Log p-value TWAS	Log p-value mRNA expression
GO:0120035	Regulation of plasma membrane bounded cell projection organization	-5.287	-9.296
GO:0031344	Regulation of cell projection organization	-5.115	-9.154
GO:0010975	Regulation of neuron projection development	-5.098	-8.009
GO:0031346	Positive regulation of cell projection organization	-3.167	-7.019
GO:0010976	Positive regulation of neuron projection development	-3.100	-2.489
GO:0010977	Negative regulation of neuron projection development	-4.160	-2.948
GO:0051129	Negative regulation of cellular component organization	-3.249	-3.987
GO:0031345	Negative regulation of cell projection organization	-3.203	-2.859
GO:0050770	Regulation of axonogenesis	-3.033	-6.213
GO:0045596	Negative regulation of cell differentiation	-2.811	-5.318

GO, Gene Ontology; mRNA, messenger RNA; TWAS, transcriptome-wide association study.

**Fig. 5**

The correlation between genetic variation and the three major pathological changes of lumbar spinal stenosis. GO, gene ontology; *IL15-RA*, interleukin-15 receptor α ; IVDD, intervertebral disc degeneration.

associated with LSS, especially the dedifferentiation of NP cells into fibroblast-like phenotypes during IVDD.³² Negative regulation of cell differentiation (GO:0045596) was identified by TWAS analysis and mRNA expression profile enrichment analysis in this study. In addition to cellular

differentiation, IVDD is also associated with cellular component abnormalities. An increasing number of senescent cells can be observed with ageing and degeneration of intervertebral disc tissue. The time-dependent accumulation of molecular and cellular damage is the

main cause of abnormal homeostasis and eventual structural and functional breakdown of intervertebral discs.³³ This study found that the GO biological process negative regulation of cellular component organization (GO:0051129) is associated with LSS through negative regulation of cellular components and tissues. Senescent cells promote the functional decline in intervertebral disc tissue, which may be positively correlated with the increase in senescent markers, such as the cell cycle arrest protein p16^{INK4a}, or the secretion of proinflammatory cytokines such as *TNFA*, *IL-1 β* , *IL-17*, *IL-6*, and *COX-2*.³⁴

The novelty of this study is that the correlation between genetic variation and the three major pathological changes of LSS was confirmed by TWAS for the first time. TWAS analysis is a creative method that can predict the gene expression in LSS and avoid confounding from environmental differences caused by the trait that may influence expression. In addition, the large sample size of GWAS summary dataset ensures the accuracy of our results. However, this study also has some limitations. The GWAS summary dataset and mRNA expression profiles are derived from subjects of Asian ancestry, so the findings should be applied to other populations with caution. Further TWAS analysis on other populations are needed to prove our results. In addition, the control group mRNA expression profiles exclusively comprised men; the sex differences in mRNA expression profiles may have influenced the results.

In summary, this study firstly performed TWAS to identify novel susceptibility genes associated with LSS, then integrated TWAS with mRNA expression profiles to identify common genes for LSS. We hope that the discovery of the genetic mechanism underlying LSS can be used to screen high-risk groups to achieve precise regulation of LSS through targeted biological intervention at the gene level through the development of new drugs, so as to delay degeneration of the lumbar spine, lessen clinical symptoms and disability, and reduce social and economic burdens.

Supplementary material



Tables showing the total genes identified by transcriptome-wide association study analysis and gene expression omnibus.

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Data sharing:

- The GWAS summary data set is available from the BBJ (<https://pheweb.jp/downloads>). The datasets analyzed during the current study are available from the Gene Expression Omnibus database (<https://www.ncbi.nlm.nih.gov/gds>) accession number: GSE113212.

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- The source of the data was a publicly available database and no human participants were involved, hence ethical parameters are not applicable.

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